



# THE LAW OFFICE OF STEVEN G. ROEDER

### Patents, Trademarks and Copyrights

STEVEN G. ROEDER  
JAMES P. BRODER

sroeder1@san.rr.com  
jpbroder@san.rr.com

5560 Chelsea Avenue  
La Jolla, CALIFORNIA 92037

## PATENT

TELEPHONE  
(858) 456-1951  
FACSIMILE  
(858) 456-0744

RECEIVED  
OCT 11 2001  
Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Williams et al.

Serial No.: 09/768,975

Filed: January 23, 2001

For: A DUAL STAGE, HEAD STACK ASSEMBLY  
FOR A DISK DRIVE

Examiner: Unknown

Attorney Docket: Q01-1000-US1/11198.52

## REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir/Madam:

On September 15, 2001, we received the original Filing Receipt in the above-referenced matter. The Filing Receipt lists the Attorney Docket number as Q99-1067-US1, however, the Attorney Docket number for this Application is Q01-1000-US1

Please make the correction(s) listed below:

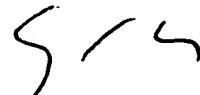
Application Processing Division  
Customer Correction Branch  
U.S. PATENT AND TRADEMARK OFFICE  
Page 2

delete [ Q99-1067-US1 ] and insert – Q01-1000-US1 --.

A copy of the original Filing Receipt indicating the change(s) to be made is enclosed.

DATED this 27<sup>th</sup> day of September, 2001.

Respectfully submitted,



STEVEN G. ROEDER  
Attorney for Applicant  
Registration No. 37,227

RECEIVED  
OCT 11 2001  
Technology Center 2600

THE LAW OFFICE OF STEVEN G. ROEDER  
5560 Chelsea Avenue  
La Jolla, California 92037  
Telephone: (858) 456-1951

CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this the 27<sup>th</sup> day of September, 2001.

 STEVEN G. ROEDER, Attorney for Applicant--Registration No. 37,227

Transmitted: Request for Correction of Filing Receipt and a copy of Filing Receipt.

Docket: Q01-1000-US1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/768,975	01/23/2001	2652	938	Q99-1007- -US1	4	21	4

Q01-1000-US1

CONFIRMATION NO. 2314

CORRECTED FILING RECEIPT



\*OC00000006078086\*

DAVID B. HARRISON  
QUANTUM CORPORATION  
500 MCCARTHY BOULEVARD  
MILPITAS, CA 95035

Date Mailed: 05/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephen P. Williams, Morgan Hill, CA;  
Albert Hartman, Palo Alto, CA;  
Thomas Tacklind, San Martin, CA;

## Domestic Priority data as claimed by applicant

RECEIVED  
OCT 11 2001  
Technology Center 2600

## Foreign Applications

If Required, Foreign Filing License Granted 03/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Dual stage, head stack assembly for a disk drive

## Preliminary Class

360

---

Data entry by : BERHIE, RUTH

Team : OIPE

Date: 05/15/2001





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

FILE COPY

CONFIRMATION NO. 2314

Bib Data Sheet

SERIAL NUMBER 09/768,975	FILING DATE 01/23/2001 RULE	CLASS 360	GROUP ART UNIT 2652	ATTORNEY DOCKET NO. Q01-1000-US1
-----------------------------	-----------------------------------	--------------	------------------------	--

## APPLICANTS

Stephen P. Williams, Morgan Hill, CA;  
 Albert Hartman, Palo Alto, CA;  
 Thomas Tacklind, San Martin, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 03/10/2001

Foreign Priority claimed	<input checked="" type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 4	TOTAL CLAIMS 21	INDEPENDENT CLAIMS 4
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature wesb	Initials			

## ADDRESS

Steven G Roeder  
 The Law Office of Steven G Roeder  
 5560 Chelsea Avenue  
 La Jolla, CA 92037

## TITLE

Dual stage, head stack assembly for a disk drive

FILING FEE RECEIVED 938	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-------------------------------	---	--